# Validity of the Pneumonitor for RR intervals acquisition for short-term heart rate variability analysis extended with respiratory data in pediatric cardiac patients

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## ABSTRACT

**Background:** Breathing pattern alterations change the variability and spectral content of the RR intervals (RRi) on electrocardiogram (ECG). However, there is no method to record and control participants' breathing without influencing its natural rate and depth in heart rate variability (HRV) studies.

**Aim:** This study aimed to assess the validity of the Pneumonitor for acquisition of short-term (5 minutes) RRi in comparison to the reference ECG method for analysis of heart rate (HR) and HRV parameters in the group of pediatric patients with cardiac disease.

**Methods:** Nineteen patients of both sexes participated in the study. An ECG and Pneumonitor were used to record RRi in 5-minute static rest conditions, the latter also to measure the relative tidal volume and respiratory rate. The validation comprised Student's t-test, Bland-Altman analysis, intraclass correlation coefficient, and Lin's concordance correlation. The possible impact of respiratory activity on the agreement between ECG and the Pneumonitor was also assessed.

**Results:** An acceptable agreement for the number of RRi, mean RR, hazard ratio (HR), and HRV measures calculated based on RRi acquired using the ECG and Pneumonitor was presented. There was no association between the breathing pattern and RRi agreement between devices.

**Conclusions:** The Pneumonitor might be considered appropriate for cardiorespiratory studies in the group of pediatric cardiac patients in rest condition.

Key words: heart rate variability, impedance pneumography, Pneumonitor, RR intervals, validation

#### INTRODUCTION

Heart rate variability (HRV), calculated based on consecutive RR intervals (RRi) between adjacent QRS complexes resulting from sinus node depolarizations [1], has been used to investigate cardiac autonomic responsiveness in various populations [2]. Importantly, HRV is affected by respiratory parameters [3–5]. The classical interpretation of the high frequency (HF) component of HRV as the vagal influence on the heart rate (HR) is flawed in subjects with 3–9 breaths per minute (breaths/min) [6]. The respiratory rate (RespRate) below 6-7 breaths/min results in the respiration-re-

lated part of the spectrum being within (partly or totally) the low frequency (LF) band. Additionally, variability in respiratory period and mean tidal volume (TV) generates LF respiratory oscillations, even if the RespRate is within the HF band [7]. The highest value of the root mean square of successive RRi differences (RMSSD) was obtained at 7 breaths/min [8]. On the other hand, in populations known to breathe faster — more than 24 breaths/min — a wider than generally recommended [1] frequency bands for HF should be set [9, 10]. Despite the evidence that the respiratory alterations change the variability and the

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# WHAT'S NEW?

This article describes the validity assessment of a research device — Pneumonitor — for the simultaneous acquisition of single-lead electrocardiogram (ECG) and impedance-based respiratory activity from the same set of electrodes. It enables to derive RR intervals (RRi) along with instantaneous frequency and depth of breathing. Importantly, the two latter signals can be directly measured as changes in trans-thoracic impedance and are not solely derived from ECG or photoplethysmography. Both pieces of information can be utilized to perform heart rate variability (HRV) analysis supported not only by assessment of RRi stationarity (requirement for the frequency domain calculations) but also by assessment of respiratory stationarity and the activity itself (e.g. HRV analysis can be distorted by a too slow breathing pattern). The assessment was performed in the specific clinical context, in pediatric cardiac patients, and demonstrated an acceptable agreement of RRi and HRV with the reference device.

spectral content of the RRi, there is no optimal method to record and control breathing without influencing its natural pattern in HRV studies [4, 11].

The electrocardiogram (ECG) is a gold standard for RRi acquisition [1] but can be also used to derive the RespRate [12]. ECG-derived respiration might avoid the potential influence of masks or belts on breathing parameters. However, costs of multi-lead ECG recorders and Holter monitors, their limited portability, and limited stationarity of signal acquisition during activities reduce their practical utility in real-world settings [13].

Recently, new convenient wearable devices have been developed to record parameters in cardiovascular populations more easily, quickly, and with increased frequency [14–16]. Pneumonitor is a portable, academically developed device designed for environmental physiology and sports medicine analyses, which offers synchronized recording of RRi (single-lead ECG) and respiratory mechanics using the impedance pneumography (IP) technique with the same set of electrodes [17]. IP records changes in trans-thoracic impedance as a result of changes in the amount of air in lungs and thorax movements. It was shown that a specific electrode configuration enables obtaining a linear relationship between impedance and TV [18]. However, these relationships depend on subjects' demographic parameters, e.g., sex and weight [19]. Therefore, to measure TV in liters, each participant should perform calibration before the main session, which is considered logistically challenging. However, this can be omitted, as the very high linear agreement between impedance and TV allows relying on relative volume changes (even divided into inspiratory- and expiratory-TV) [20]. On the other hand, detected respiratory onsets can be used to determine the RespRate series.

Before using a new tool or method of measurement in clinical practice, it is crucial to verify its agreement with the gold standard [21, 22]. The absence of measurement validation is a barrier to the widespread use of wearable medical technologies in current practice [23]. Importantly, most wearable biosensors have not been designed for children despite a great number of pediatric cardiac diseases that could benefit from this technology [16]. IP has been already applied in the pediatric population [24]. Adding an ECG registration, especially using the same electrode

configuration, does not affect the application of impedance measurement. This study aimed to assess the validity of the Pneumonitor for acquisition of short-term RRi for analysis of vagally-mediated HRV in comparison to the reference ECG method in a group of pediatric cardiac patients. Furthermore, this study aimed to extend the typically used setup with a separate cardiac recording with simultaneous acquisition of data on respiration.

#### **METHODS**

## **Population**

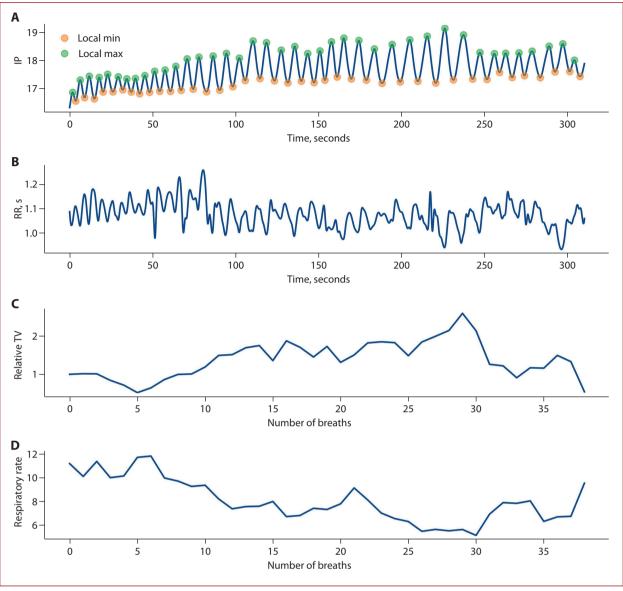
The study group consisted of 19 (7 female) pediatric cardiac patients of both sexes. The inclusion criteria were age between 7 and 18 years, absence of infection, and in cases of constant pharmacological treatment — no change in medications in the last 3 months. The study was approved by the University Bioethical Committee (KB/70/2021) and followed the rules and principles of the Helsinki Declaration, all parents or legal guardians and patients 16 years old and older gave their informed written consent.

# **Procedures and measurement conditions**

Patients and their parents/legal guardians were informed about the study objectives, measurement protocol, potential risks involved, and its benefits in conversation. Recordings were performed between 8:30 am and 2:00 pm in a hospital room, which was quiet and bright, with stable, controlled temperature and humidity. Patients were instructed to refrain from physical activity the day before and on the day of study, avoid junk food, sugar drinks, snacking, and to use the toilet (if needed) before examinations. The examination was carried out at least 1 hour after breakfast.

# RRi data acquisition using an ECG and the Pneumonitor

For ECG, 10 electrodes were placed in standard positions. For the Pneumonitor, 5 electrodes were placed according to the scheme presented elsewhere [17]. Patients were placed in the supine position for 5 minutes to stabilize HR. RRi were recorded simultaneously using ECG (Custo cardio 100 12-channel PC ECG system; sampling frequency fs = 1000 Hz, Custo med GmbH, Ottobrunn, Germany) and the Pneumonitor in the supine position for 6 minutes.



**Figure 1.** Sample series of IP signal (top) with marked local minima and maxima enabling calculation of the respiratory rate (bottom) and the course of relative TV (second from the bottom), along with the interpolated RR intervals (second from the top); the only example with the nonstationary (decreasing) respiratory rate

Abbreviations: IP, impedance pneumography; s, seconds; RR, time elapsed between two successive R waves of the QRS signal on the electrocardiogram; TV, tidal volume

The Pneumonitor measured single-lead ECG signals along with IP with the same set of electrodes (standard Holter-type, disposable), with fs = 250 Hz, considered sufficient for HRV analysis [1]. For the Pneumonitor, ECG signal pre-processing comprised: (1) baseline alignment; (2) R peaks detection using Stationary Wavelet Transform [25]; (3) manual correction of mistakenly detected R peaks (if applicable, based on the visual inspection); and (4) estimation of RRi between successive R peaks. The IP signal was measured with the tetrapolar method using a specified electrode configuration [18]. RespRates were estimated as follows: (1) raw IP was smoothed (1-second window) to remove the cardiac component [26]; (2) respiratory on-

sets were found based on the differentiated, flow-related signal; (3) RespRates were estimated between successive respiratory onsets.

We did not transform impedance into volume in liters, assuming impedance changes reproduce the TV signal in terms of shape [20]. The first breath was hence assigned with the value of 1, and all next ones were related to this first. Inspiratory and expiratory phases were detected from the differentiated signal, and then, inspiratory- and expiratory-TV were estimated as the difference between the maximum after the inspiration and the minimum before the inspiration, and between the maximum before the expiration and the minimum after the expiration, respectively (Figure 1).

# Data synchronization, artifacts identification, and correction

Registered ECGs were inspected by a pediatric cardiologist to confirm sinus rhythm and identify ectopic beats. The RRi were exported from the ECGs software and the analytical scripts prepared for Pneumonitor data, then imported into a single Excel spreadsheet file to carry out raw RRi time series synchronization, identify artifacts based on graphical presentation of raw RRi from both devices, and implement manual editing according to recommendations [27]. Physiological artifacts (ectopic beats, premature atrial, and/or ventricular beats) were replaced by RRi interpolated from adjacent RRi [28].

# **Stationarity assessment**

Stationarity, the requirement for spectral HRV indices [29], was verified before HRV analysis (Statistical analysis).

#### **HR and HRV**

The corrected RRi from both devices were imported into Kubios HRV Standard 3.4 software (University of Eastern Finland, Kuopio, Finland) [30] to calculate mean RR, mean HR (HR), time-domain (standard deviation of NN intervals — SDNN, RMSSD), and frequency-domain (low frequency — LF, HF, LF/HF) parameters based on 5-minute recordings. Smoothness priors based on the detrending approach was applied (smoothing parameter, Lambda value = 500) [31], and then, RRi series were transformed to an evenly sampled time series using a cubic spline interpolation followed by 4-Hz resampling. The detrended and interpolated RRi series were used to compute spectra by employing a fast-Fourier transform with Welch's periodogram method (300-second window, without overlap). The following bands for spectral components were set: LF (0.04-0.10 Hz) and HF (0.10-0.40 Hz). The power at both bands was estimated in absolute (ms2). Natural log-transformed (In) absolute powers in the LF (InLF) and HF (InHF) bands were also presented.

# Statistical analysis

All analyses were carried out in Python 3.9. The stationarity analyses were performed using the Phillips-Perron test [32] for patients' RRi and RespRate series separately for ECG and the Pneumonitor. Agreement of parameters between ECG and the Pneumonitor was verified using a Bland-Altman plot with limits of agreement (LoA) [21, 33] and intraclass correlation coefficient (ICC, model 3.1) with the a priori interpretation: 0-0.30 - small, 0.31-0.49 - moderate, 0.50-0.69 — large, 0.70-0.89 — very large, and 0.90-1.00 — nearly perfect [34]. An agreement sufficient for the interchangeable use of two methods is suggested when a lower 95% confidence interval (CI) value exceeded 0.75 [35]. To compare the values of parameters obtained using both devices, Student's t-test was used. The smallest worthwhile change (SWC) was calculated by multiplying the between-subject ECG standard deviation values by 0.2 (SWC $_{0.2}$  small effect) and 0.6 (SWC $_{0.6}$  medium effect) and used to define the maximum allowed difference between methods presented in Bland-Altman plots. Two methods are considered in agreement if the LoA do not exceed the SWC between methods. Lin's concordance correlation coefficient (CCC) was also calculated [36]. To assess whether the agreement between ECG and the Pneumonitor is affected by the respiratory depth and rate, Pearson correlation tests were performed between standard deviations of relative TV and RespRate, and the difference between HRV parameters calculated using ECG and the Pneumonitor. Descriptive data for quantitative features with normal distribution were presented as mean and standard deviation (SD). In all cases, the significance level was set at  $\alpha=0.05$ .

## **RESULTS**

# **Participants characteristics**

Results of 3 patients of 19 were excluded due to poor signal quality (n = 2) and non-confirmed diagnosis (n = 1). Consequently, results of 16 (6 female) pediatric Polish Caucasian cardiac patients (congenital heart disease n = 5, cardiac arrhythmia n = 4, cardiomyopathy n = 7) from the following voivodeships in Poland: Mazowieckie (n = 11), Lubuskie (n = 1), Podlaskie (n = 1), Kujawsko-Pomorskie (n = 1), Podkarpackie (n = 1), and Świętokrzyskie (n = 1) were included in the analysis. The mean (SD) age, body mass, stature, and body mass index (BMI) were 12.6 years (3.4), 57.8 kg (25.3), 158.4 cm (18.1), and 21.8 kg/m² (5.5), respectively.

# Number of RRi, synchronization, artifacts identification and correction, stationarity

There were 5917 and 5813 RRi from ECG and Pneumonitor recordings, respectively. Data from both devices required synchronization for 6 patients — from 5 to 11 RRi from the beginning of the ECG signal were excluded. There were 27 technical artifacts notified on both ECG and Pneumonitor recordings — 0.005% error rate. The most often detected type of error included a short interval, followed by a long interval (n = 21) and missed interval(s) on the Pneumonitor, equivalent to 2 or 3 ECG RRi (n = 6). RRi series obtained using both devices appeared stationary for all patients.

# Agreement of HR and HRV parameters

Results of agreement statistics for parameters calculated based on RRi obtained using ECG and the Pneumonitor are presented in Table 1. There were no significant differences between parameters (P > 0.66 for all). Mean absolute percentage difference between parameters ranged from 1.5% to 15.8%. ICC and CCC ranged between 0.96 and 1.00. The Bland-Altman plots are presented in Figure 2. SWC<sub>0.2′</sub> SWC<sub>0.6′</sub> and the number of patients for whom LoA exceeded the defined SWC (LoA > SWC) for selected parameters are presented in Table 2.

**Table 1.** Results of agreement statistics for HRV parameters

Parameter	Mean (SD) ECG	Mean (SD) Pneumonitor	Mean difference (95% CI)	LoA	95% CI for lower; upper LoA	ICC (95% CI)	ccc
RRi, n	348.7 (55.3)	342.6 (54.0)	6.1 (5.3–7.0)	3.1; 9.1	(1.7–4.5); (7.7–10.6)	1.00 (1.00–1.00)	0.99
Mean RR, ms	881.4 (124.8)	896.9 (126.6)	-15.5 (-17.0 to -14.0)	-20.7; -10.3	(-23.2 to -18.2); (-12.8 to -7.9)	1.00 (1.00-1.00)	0.99
HR, bpm	69.7 (10.9)	68.5 (10.8)	1.2 (1.0-1.4)	0.4; 2.0	(0.1-0.8); (1.6-2.3)	1.00 (1.00-1.00)	0.99
SDNN, ms	45.8 (17.4)	48.2 (16.9)	-2.4 (-3.6 to -1.2)	-6.7; 1.9	(-8.7 to -4.7); (-0.2-3.9)	0.99 (0.98-1.00)	0.98
RMSSD, ms	52.2 (22.7)	55.7 (21.6)	-3.5 (-5.7 to -1.4)	-11.1; 3.9	(-14.6 to -7.5); (0.4-7.5)	0.99 (0.96-0.99)	0.97
LF, ms <sup>2</sup>	433.6 (298.5)	479.6 (324.4)	-46.0 (-76.7 to -15.3)	-155.3; 63.3	(-207.2 to -103.4); (11.4-115.2)	0.98 (0.95-0.99)	0.97
InLF	5.8 (0.8)	5.9 (0.8)	-0.1 (-0.2-0.0)	-0.5; 0.3	(-0.7 to -0.3); (0.1-0.4)	0.97 (0.91-0.99)	0.96
HF, ms <sup>2</sup>	1529.3 (1141.8)	1601.9 (1105.4)	-72.6 (-137.5 to -7.8)	-303.6; 158.3	(-413.2 to -193.9); (48.7-267.9)	0.99 (0.98-1.00)	0.99
InHF	6.9 (1.1)	7.0 (1.0)	-0.1 (-0.1-0.0)	-0.3; 0.1	(-0.4 to -0.2); (0.0-0.2)	1.00 (0.99-1.00)	0.99
LF/HF	0.42 (0.28)	0.42 (0.25)	0.00 (-0.03 - 0.04)	-0.12; 0.13	(-0.180.06); (0.07-0.18)	0.97 (0.92–0.99)	0.97

Data for quantitative features with normal distribution were presented as mean and standard deviation (SD)

Abbreviations: CI, confidence interval; LoA, limits of agreement; ICC, intraclass correlation coefficient; CCC, concordance correlation coefficient; RR, time elapsed between two successive R waves of the QRS signal on the electrocardiogram; RRi, RR intervals; ms, milliseconds; ms², milliseconds squared; HR, heart rate; bpm, beats per minute; SDNN, standard deviation of NN intervals; RMSSD, root mean square of successive RRi differences; LF, low frequency; HF, high frequency; In, natural log-transformed

Table 2. Smallest worthwhile change (SWC) and the number of patients for whom LoA exceeded the defined SWC

	Mean RR, ms	HR, bpm	SDNN, ms	RMSSD, ms	LF, ms²	InLF	HF, ms²	InHF	LF/HF
SWC <sub>0.2</sub>	11.4	2.3	3.6	4.7	61.7	0.16	236	0.2	0.06
LoA >SWC <sub>0.2</sub>	None	None	4	4	4	1	2	2	2
SWC <sub>0.6</sub>	34.3	6.8	10.8	14.1	185.0	0.48	708	0.7	0.18
LoA >WC <sub>0.6</sub>	None	None	None	None	None	1	None	None	1

Abbreviations: RR, time elapsed between two successive R waves of the QRS signal on the electrocardiogram; ms, milliseconds; ms², milliseconds squared; HR, heart rate; bpm, beats per minute; SDNN, standard deviation of NN intervals; RMSSD, root mean square of successive RRi differences; LF, low frequency; HF, high frequency; In, natural log-transformed; LoA, limits of agreement

# Respiratory rate and its stationarity, TV-relative changes

The RespRate was between 8 and 25 breaths/min and was stationary for all patients with one exception (Figure 1). There was no statistically significant correlation between either the standard deviation of relative TV or the standard deviation of the RespRate, and the difference between parameters calculated using ECG and the Pneumonitor (R between -0.36 and 0.38; P > 0.14 for all), which suggests no association between breathing pattern and RRi agreement between devices.

# **DISCUSSION**

The number of RRi, mean RR, HR, and HRV parameters calculated based on edited RRi acquired during rest condition using ECG and the Pneumonitor presented sufficient agreement in pediatric cardiac patients.

The widespread use of wearable devices in medical practice is hampered due to the lack of validation studies [23]. A polar chest strap seems to be the most popular wearable device used to register RRi, validated mostly in adults and rarely in children [37, 38]. Nevertheless, breathing monitoring is not incorporated into such wearable sensors [39]. As mentioned in numerous previous studies, information on breathing is necessary to interpret HRV data accurately (see [4]), especially in populations with respiratory disturbances. An increased RespRate is a common symptom in children with congestive heart failure [40], integral to the diagnosis of acute lower respiratory infection [41].

A Pneumonitor can be considered a wearable device that allows recording both cardiac and respiratory activity and raises the possibility of evaluating cardiorespiratory coupling and cardiorespiratory fitness [42] in various measurement conditions (also dynamic), while still preserving the quantitative nature of the results. This enables assessing the flow between the cardiac and respiratory systems within the causal domain (to identify the directionality and strength of cardiorespiratory coupling and interactions) [43]. The relationships were studied both from methodological and physiological perspectives [44–46]. Procedures and tests developed to explore the coupling between time series in general (e.g., Granger causality) applied for cardiorespiratory data recorded during spontaneous and controlled activity showed ambiguous insights into the causal relationship. Cardiorespiratory interaction has been regarded as primarily respiration-to-heart rate [47] heart rate-to-respiration [48], quasi-cyclical (TV through HR changes, rate to RespRate [45]), or bidirectional [49]. However, these differences probably depend on different analytical techniques employed [4], which could be studied further with the Pneumonitor and applied specifically in the pediatric cohort [50].

The following limitations can be pointed out: the exploratory character of the study, relatively small size and heterogenous nature of the study, lack of inclusion of healthy pediatric subjects as a control group, differences in sampling frequencies between devices, and the procedure assuming only static conditions. An extension of the study

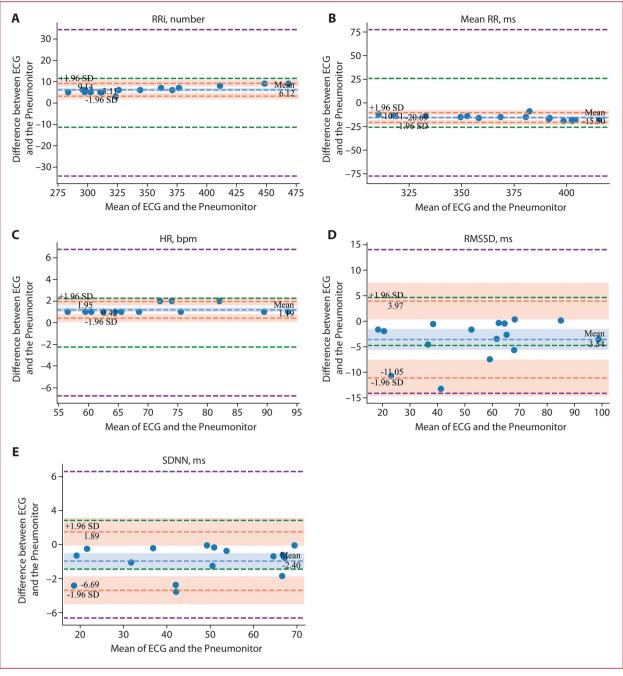
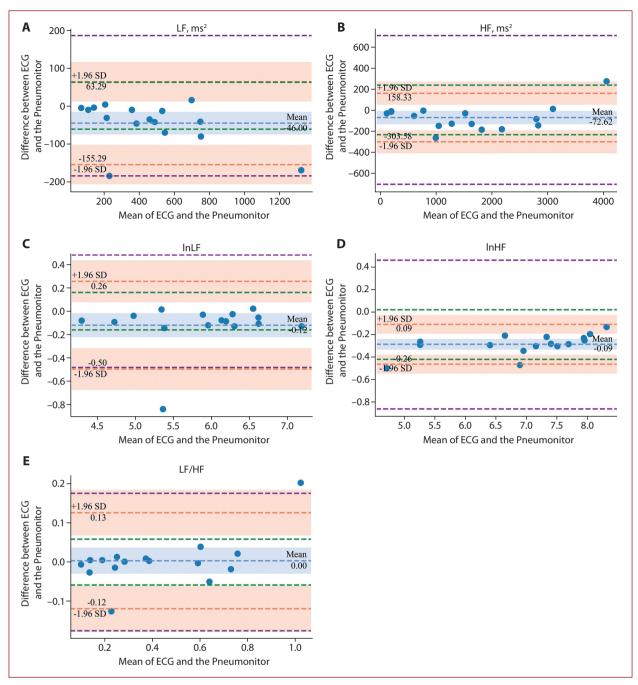


Figure 2. Bland-Altman plots for the number of RRi, mean RR, HR, and time-domain HRV calculated based on RRi obtained using ECG and the Pneumonitor. The dashed blue line presents mean difference, dashed orange lines represent LoA. The blue and orange areas highlight the confidence intervals for the mean and LoA, respectively. The dashed green and purple lines show the SWC<sub>0.2</sub> and SWC<sub>0.6</sub>

Abbreviations: RRi, RR intervals; ms, milliseconds; HR, heart rate; bpm, beats per minute; RMSSD, root mean square of successive RRi differences; SDNN, standard deviation of NN intervals; other — see Figure 1



**Figure 3.** Bland-Altman plots for the number of RRi, mean RR, HR, and time-domain HRV calculated based on RRi obtained using ECG and the Pneumonitor. The dashed blue line presents mean difference, dashed orange lines represent LoA. The blue and orange areas highlight the confidence intervals for the mean and LoA, respectively. The dashed green and purple lines show the SWC<sub>0.2</sub> and SWC<sub>0.6</sub>

Abbreviations: HF, high frequency; LF, low frequency; ms2, milliseconds squared; ln, natural log-transformed

could be the Lomb-Scargle periodogram — a method that allows more efficient computation of a Fourier-like power spectrum estimator from unevenly sampled data.

The Pneumonitor might be considered appropriate for cardiorespiratory studies in the group of pediatric cardiac patients in rest condition.

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